



DOCKET: FI9-97-288

PATENT

*Receipt*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR:	C. Obszarny	)	EXAMINER:	
SERIAL NO.:	09/116,395	)	ART UNIT:	1752
FILING DATE:	July 16, 1998	)	DATE:	September 21 1998
FOR:	APPARATUS AND METHOD FOR IN-SITU ADJUSTMENT OF LIGHT TRANSMISSION IN A PHOTOLITHOGRAPHY PROCESS	)		

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**SECOND REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner of Patents  
APPLICATION PROCESSING DIVISION  
CUSTOMER CORRECTION BRANCH  
Washington, D.C. 20231

Dear Sir:

We are in receipt of a Corrected Filing Receipt for the above identified application which contains an error in the title which should be:

-- --APPARATUS AND METHOD FOR IN-SITU ADJUSTMENT OF LIGHT  
TRANSMISSION IN A PHOTOLITHOGRAPHY PROCESS.-- --

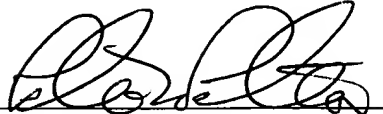
Please provide us with a corrected Filing Receipt.

Enclosed is a copy of the Filing Receipt showing the changes noted thereon.

Please charge any over or under payment to International Business Machines Corporation Deposit Account No. 09-0458.

Respectfully submitted,

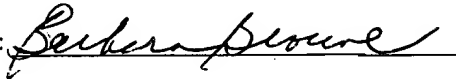
**DeLIO & PETERSON, LLC**  
121 Whitney Avenue  
New Haven, CT 06510-1241  
(203) 787-0595

  
Peter W. Peterson  
Reg. No. 31,867

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name: Barbara Browne Date: September 21, 1998  
ibm1001650002ndreq-filrec

Signature: 

FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/116,395	07/16/98	1752	\$954.00	FI9-97-288	4	16	5

DELIO & PETERSON  
121 WHITNEY AVENUE  
NEW HAVEN CT 06510

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SEP 10 1998

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

CHRISTOPHER E. OBSZARNY, POUGHKEEPSIE, NY.

FOREIGN FILING LICENSE GRANTED 08/03/98

TITLE

APPARATUS AND METHOD FOR IN-SITU ADJUSTMENT OF LIGHT TRANSMISSION IN  
PHOTOLITHOGRAPHY PROCESS

PRELIMINARY CLASS: 430

DOCKET: FI9-97-288



PATENT

GIP/756  
9/28/99  
#2/election

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR: C. Obszarny ) EXAMINER: J. Holloman  
SERIAL NO.: 09/116,395 ) ART UNIT: 1756  
FILING DATE: 16 July 1998 ) DATE: 22 September 1999

FOR: **APPARATUS AND METHOD FOR IN-SITU ADJUSTMENT OF  
LIGHT TRANSMISSION IN A PHOTOLITHOGRAPHY PROCESS**

**RESPONSE TO RESTRICTION REQUIREMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

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Dear Sir:

Responsive to the Office Action mailed 26 August 1999 and the restriction requirement made therein, applicants provisionally ~~elect~~ Group I, claims 1-9.

Applicants traverse the restriction requirement on the grounds that 35 USC § 121 authorizes restriction only when the claimed invention is "independent and distinct" (emphasis added). A search of the subject matter of Group II, claims 10-16 would necessarily require a search of the subject matter of Group I, claims 1-9.

It is submitted that the apparatus claimed in Group I and the method claimed in Group II are not directed to independent and distinct matter.

Respectfully submitted,




Robert Curcio  
Reg. No. P-44,638

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CERTIFICATE OF MAILING

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Name: Barbara Browne Date: September 22, 1999 Signature: 

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